







Atty. Docket: IBM / 166

Client Ref.: ROC9-2000-0191



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Cary Lee Bates, Brian John Cragun, Paul Reuben Day,

and John Matthew Santosuosso

Title:

AUTOMATED SPELL ANALYSIS

CERTIFICATE OF MAILING BY EXPRESS MAIL - 37 CFR 1.10

'Express Mail' mailing label number: <u>EL610089295US</u>
Date of Deposit: <u>December 29, 2000</u>

I certify that this paper or fee (along with the enclosures noted herein) is being deposited with the United States Postal Service 'Express Mail Post Office to Addressee' service under 37 CFR 1.10 on the above date and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

By: Christina Rodgers (person mailing paper)

UTILITY PATENT APPLICATION TRANSMITTAL

BOX PATENT APPLICATION Assistant Commissioner for Patents Washington, D.C. 20231

This is a request for filing, under 37 CFR § 1.53(b), a(n):

- ☑ Original (non-provisional) application.
- □ Divisional of prior application Serial No. __, filed on __.
- Continuation of prior application Serial No. ___, filed on __
- □ Continuation-in-part of prior application Serial No. __, filed on __.

PRELIMINARY AMENDMENT/CALCULATION OF FEES

- Please cancel claims __ without prejudice, and prior to calculating the fees. __ total claim(s), of which __ is(are) independent, is(are) pending after the amendment.
- Please enter the enclosed preliminary amendment identified below prior to calculating the fees. ___ total claim(s), of which __ is(are) independent, is(are) pending after the amendment.
- The Fees are Calculated as Follows:

Fee:	Number of Claims:	In Excess of:	Extra:	At Rate:	Amount:
Total Claims	43	20	23	\$18	\$414.00
Independent Claims	7	3	4	\$80	\$320.00
MULTIPLE DEPENDENT CLAIM FEE					
BASIC FEE					\$710.00
TOTAL OF ABOVE CALCULATIONS					\$1,444.00
REDUCTION BY 50% FOR FILING BY SMALL ENTITY					
TOTAL					\$1,444.00

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ENCLOSURES

- ☑ Utility Patent Application Transmittal Form (in duplicate) containing Certificate of Mailing By Express Mail Under 37 CFR 1.10.
- □ Return Postcard.

APPLICATION PAPERS

- ☑ Utility Patent Application, with: cover sheet, 35 page(s) specification (including 43 total claim(s), of which _7 is(are) independent), and _1 page(s) abstract.
- Drawings: 5 sheet(s) of formal drawings (13 total figure(s)).
- Microfiche Computer Program (Appendix).
- □ Nucleotide and/or Amino Acid Sequence, including (all are necessary): Computer Readable Copy, Paper Copy (identical to computer copy), and Statement verifying identity of copies.
- An Executed Declaration, Power of Attorney and Petition Form.
- Copy of Executed Declaration, Power of Attorney and Petition Form from prior application identified above.
- Certified Copy of priority document(s) identified as attached above.

ADDITIONAL PAPERS

- ☑ Assignment to <u>INTERNATIONAL BUSINESS MACHINES CORPORATION</u>, Recordation Cover Sheet (Form PTO-1595)
- □ Verified Statement to Establish Small Entity Status under 37 CFR 1.9 and 1.27.
- □ Preliminary Amendment (to be entered prior to calculation of fees)
- Information Disclosure Statement, __ sheet(s) Form PTO-1449, __ U.S. Patent Reference(s), __ Foreign Patent Reference(s) and __ Other Reference(s)
- □ Other: __

CHECKS

- A Check of \$1,444.00 for the filing fee.
- A Check of \$40.00 for the assignment recording fee.

DEPOSIT ACCOUNT AUTHORIZATION

- Please charge Deposit Account No. 23-3000 in the amount of ___.
- The Commissioner is authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 23-3000. A duplicate of this transmittal is attached.
- THE PAYMENT OF FEES IS BEING DEFERRED.

Respectfully Submitted,

WOOD, HERRON & EVANS, L.L.P. 2700 Carew Tower Cincinnati, Ohio 45202 (513) 241-2324

SAS/jlv

Scott A. Stinebruner Reg. No. 38,323

> Client Ref.: ROC9-2000-0191 Express Mail No. EL610089295US

for Scott Stinebruner

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